

Form 990
(Rev. January 2020)
Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)
Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No 1545-0047
2019
Open to Public Inspection

A For the 2019 calendar year, or tax year beginning JUL 1, 2019 and ending JUN 30, 2020

B Check if applicable:
☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization: OCLC, INC.
 Doing business as:
 Number and street (or P.O. box if mail is not delivered to street address) Room/suite:
6565 KILGOUR PLACE
 City or town, state or province, country, and ZIP or foreign postal code:
DUBLIN, OH 43017

D Employer identification number:
31-0734115

E Telephone number:
614-764-6000

G Gross receipts \$: 302,567,800.

H(a) Is this a group return for subordinates? ☐ Yes ☒ No
H(b) Are all subordinates included? ☐ Yes ☒ No
 If "No," attach a list (see instructions)
H(c) Group exemption number: 63

I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c)() (insert no.) ☐ 4947(a)(1) or ☐ 527

J Website: WWW.OCLC.ORG

K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other

L Year of formation: 1967 **M** State of legal domicile: OH

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities: ESTABLISH, MAINTAIN AND OPERATE A COMPUTERIZED LIBRARY NETWORK AND TO (CONTINUED ON SCHEDULE O)

2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3 Number of voting members of the governing body (Part VI, line 1a): 14

4 Number of independent voting members of the governing body (Part VI, line 1b): 14

5 Total number of individuals employed in calendar year 2019 (Part V, line 2): 989

6 Total number of volunteers (estimate if necessary): 0

7a Total unrelated business revenue from Part VIII, column (C), line 12: 82,496.

7b Net unrelated business taxable income from Form 990-T, line 39: 58,300.

Revenue

	Prior Year	Current Year
8 Contributions and grants (Part VIII, line 1h)	755,551.	2,368,236.
9 Program service revenue (Part VIII, line 2g)	220,142,270.	210,825,634.
10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	15,083,458.	<816,605.>
11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	13,217.	<210,806.>
12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	235,994,496.	212,166,459.

Expenses

	Prior Year	Current Year
13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	96,154.	46,009.
14 Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	129,252,651.	135,481,052.
16a Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.
b Total fundraising expenses (Part IX, column (D), line 25)	0.	0.
17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	97,768,651.	76,403,252.
18 Total expenses - Add lines 13-17 (must equal Part IX, column (A), line 25)	227,117,456.	211,930,313.
19 Revenue less expenses - Subtract line 18 from line 12	8,877,040.	236,146.

Net Assets or Fund Balances

	Beginning of Current Year	End of Year
20 Total assets (Part X, line 16)	434,645,398.	453,382,737.
21 Total liabilities (Part X, line 26)	169,032,431.	189,241,202.
22 Net assets or fund balances - Subtract line 21 from line 20	265,612,967.	264,141,535.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer: William J Rozer Date: 2021 May 20

WILLIAM ROZEK, TREASURER & CFO
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name: JACOB COOK Preparer's signature: Jacob Cook Date: 5/7/2021 Check if self-employed: ☐ PTIN: P01240455

Firm's name: BDO USA, LLP Firm's EIN: 13-5381590

Firm's address: 300 SPRUCE STREET, SUITE 100 Phone no.: 616-774-7000
COLUMBUS, OH 43215

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

☒ X**1** Briefly describe the organization's mission:

ESTABLISH, MAINTAIN AND OPERATE A COMPUTERIZED LIBRARY NETWORK AND TO
PROMOTE THE EVOLUTION OF LIBRARY USE, OF LIBRARIES THEMSELVES AND OF
LIBRARIANSHIP, AND TO PROVIDE PROCESSES AND PRODUCTS FOR THE BENEFIT
OF LIBRARY USERS AND LIBRARIES, INCLUDING SUCH OBJECTIVES AS

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code _____) (Expenses \$ 185,843,526. including grants of \$ 46,009.) (Revenue \$ 210,825,634.)
OCLC

OCLC IS A NONPROFIT GLOBAL LIBRARY COOPERATIVE THAT PROVIDES SHARED
TECHNOLOGY SERVICES, ORIGINAL RESEARCH AND COMMUNITY PROGRAMS SO THAT
LIBRARIES CAN BETTER FUEL LEARNING, RESEARCH AND INNOVATION. THROUGH
OCLC, MEMBER LIBRARIES COOPERATIVELY PRODUCE AND MAINTAIN WORLDCAT, THE
MOST COMPREHENSIVE GLOBAL NETWORK OF DATA ABOUT LIBRARY COLLECTIONS AND
SERVICES. LIBRARIES GAIN EFFICIENCIES THROUGH OCLC'S WORLDSHARE, A
COMPLETE SET OF LIBRARY MANAGEMENT APPLICATIONS AND SERVICES BUILT ON
AN OPEN, CLOUD-BASED PLATFORM. IT IS THROUGH COLLABORATION AND SHARING
OF THE WORLD'S COLLECTED KNOWLEDGE THAT LIBRARIES CAN HELP PEOPLE FIND
ANSWERS THEY NEED TO SOLVE PROBLEMS. TOGETHER AS OCLC, MEMBER

4b (Code _____) (Expenses \$ _____ including grants of \$ _____) (Revenue \$ _____)

4c (Code _____) (Expenses \$ _____ including grants of \$ _____) (Revenue \$ _____)

4d Other program services (Describe on Schedule O)

(Expenses \$ _____ including grants of \$ _____) (Revenue \$ _____)

4e Total program service expenses 185,843,526.

Form **990** (2019)

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Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV		X
10 Did the organization, directly or through a related organization, hold assets in donor-restricted endowments or in quasi endowments? If "Yes," complete Schedule D, Part V		X
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	X	
b Did the organization report an amount for investments - other securities in Part X, line 12, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII		X
c Did the organization report an amount for investments - program related in Part X, line 13, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII		X
d Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX		X
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII		X
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	X	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E		X
14a Did the organization maintain an office, employees, or agents outside of the United States?	X	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	X	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	X	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III		X
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	X	

Part IV Checklist of Required Schedules (continued)

	Yes	No
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a	X	
24b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		X
24c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		X
24d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		X
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		X
25b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
26 Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II	X	
27 Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions, for applicable filing thresholds, conditions, and exceptions)		
28a A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If "Yes," complete Schedule L, Part IV		X
28b A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV		X
28c A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? If "Yes," complete Schedule L, Part IV		X
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	X	
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	X	
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?	X	
35b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	X	
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?	X	

Note: All Form 990 filers are required to complete Schedule O

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

X

	Yes	No
1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable		
1b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
1c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

		Yes	No
2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a 989		
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2b	X	
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	X	
b If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule O	3b	X	
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	X	
b If "Yes," enter the name of the foreign country SEE SCHEDULE O See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR)			
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		X
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		X
c If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c		
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a		X
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b		
7 Organizations that may receive deductible contributions under section 170(c).			
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a		X
b If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b		
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c		X
d If "Yes," indicate the number of Forms 8282 filed during the year	7d		
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		X
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		X
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	8		
9 Sponsoring organizations maintaining donor advised funds.			
a Did the sponsoring organization make any taxable distributions under section 4966?	9a		
b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b		
10 Section 501(c)(7) organizations. Enter			
a Initiation fees and capital contributions included on Part VIII, line 12	10a		
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b		
11 Section 501(c)(12) organizations. Enter			
a Gross income from members or shareholders	11a		
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b		
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b		
13 Section 501(c)(29) qualified nonprofit health insurance issuers.			
a Is the organization licensed to issue qualified health plans in more than one state? Note: See the instructions for additional information the organization must report on Schedule O	13a		
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b		
c Enter the amount of reserves on hand	13c		
14a Did the organization receive any payments for indoor tanning services during the tax year?	14a		X
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation on Schedule O	14b		
15 Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? If "Yes," see instructions and file Form 4720, Schedule N	15	X	
16 Is the organization an educational institution subject to the section 4968 excise tax on net investment income? If "Yes," complete Form 4720, Schedule O	16		X

Form 990 (2019)

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI ☒

Section A. Governing Body and Management

	1a	1b	14	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year. If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain on Schedule O.			14		
b Enter the number of voting members included on line 1a, above, who are independent			14		
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?					X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, trustees, or key employees to a management company or other person?					X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?					X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?					X
6 Did the organization have members or stockholders?				X	
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?				X	
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?					X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:					
a The governing body?				X	
b Each committee with authority to act on behalf of the governing body?				X	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses on Schedule O					X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?		X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	X	
b Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	X	
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	X	
13 Did the organization have a written whistleblower policy?	X	
14 Did the organization have a written document retention and destruction policy?	X	
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	X	
b Other officers or key employees of the organization	X	
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ☒ CA, ☐ IN, ☐ NH, ☐ OR

18 Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain on Schedule O)

19 Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, address, and telephone number of the person who possesses the organization's books and records ☒
 WILLIAM ROZEK - 614-764-6000
 6565 KILGOUR PLACE, DUBLIN, OH 43017

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors
Check if Schedule O contains a response or note to any line in this Part VII ☐
Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
 - List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
 - List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
 - List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
 - List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.
- See instructions for the order in which to list the persons above.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) DEATON, BRADY TRUSTEE - FINANCE & INVESTMENT CHAIR	8.00	X						31,250.	0.	0.
(2) ANDERSON, DR CRAIG TRUSTEE	5.00	X						25,250.	0.	0.
(3) HILSHEIMER, CINDY TRUSTEE - VICE BOARD CHAIR	8.00	X						31,250.	0.	0.
(4) KEANE, KATHLEEN TRUSTEE - PERSONNEL & COMP. CHAIR	8.00	X						36,750.	0.	0.
(5) LISON, BARBARA TRUSTEE - GOVERNANCE CHAIR	8.00	X						24,960.	0.	0.
(6) NEAL, JAMES TRUSTEE - FINANCE & INVESTMENT CHAIR	8.00	X						27,250.	0.	0.
(7) GRAY-LITTLE, BERNADETTE TRUSTEE - AUDIT CHAIR	5.00	X						34,750.	0.	0.
(8) PATRICK, JOHN TRUSTEE	8.00	X						25,250.	0.	0.
(9) STEEL, VIRGINIA TRUSTEE - TECHNOLOGY PLANNING CHAIR	5.00	X						32,250.	0.	0.
(10) SZABO, JOHN TRUSTEE - BOARD & EXEC COMMITTEE CH	15.00	X						55,250.	0.	0.
(11) BYRD, THERESA TRUSTEE	5.00	X						27,250.	0.	0.
(12) THOMAS, SARAH TRUSTEE	5.00	X						30,250.	0.	0.
(13) LEFEBVRE, MADELEIN TRUSTEE - MEMBERSHIP CHAIR	5.00	X						32,416.	0.	0.
(14) MALSCHAERT, JACQUES TRUSTEE	5.00	X						23,000.	0.	0.
(15) PRESAS, JULIE GEN COUNSEL, VP OF LEGAL S	40.00			X				353,109.	0.	56,461.
(16) PRICHARD, DAVID PRESIDENT & CEO	40.00			X				1,879,643.	0.	98,421.
(17) ROZEK, WILLIAM CFO, TREASURER	40.00			X				664,900.	0.	78,332.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) BORDAS, ANDREW VP MANAGEMENT AND CUSTOMER	40.00				X			365,086.	0.	64,769.
(19) CROCCO, BRUCE VP LIBRARY SERVICES FOR TH	40.00				X			359,565.	0.	68,277.
(20) DEMPSEY, LORCAN VP OCLC RESEARCH & CHIEF S	40.00				X			466,573.	0.	97,826.
(21) MURPHY, BART CHIEF INFORMATION OFFICER	40.00				X			429,581.	0.	30,521.
(22) NILGES, WILLIAM VP BUSINESS DEVELOPMENT	40.00				X			336,273.	0.	89,038.
(23) SAUER-GAMES, MARY VP PRODUCT MANAGEMENT	40.00				X			372,658.	0.	57,616.
(24) SPAYDE, TAMMI VP CORPORATE HUMAN RESOURC	40.00				X			483,198.	0.	73,955.
(25) VAN LUBEEK, ERIC VP MANAGING DIRECTOR EMEA	40.00				X			404,550.	0.	22,245.
(26) HOHLBEIN, BONNIE CONTROLLER	40.00					X		269,457.	0.	54,990.
1b Subtotal								6,821,719.	0.	792,451.
c Total from continuation sheets to Part VII, Section A								949,055.	0.	193,307.
d Total (add lines 1b and 1c)								7,770,774.	0.	985,758.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization

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3 Did the organization list any former officer, director, trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual

	Yes	No
3		X
4	X	
5		X

4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual

5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
G20 LLC, 2500 CORPORATE EXCHANGE DR, COLUMBUS, OH 43231	TECHNICAL SERVICES	1,809,325.
EXPEDIENT 5700 INNOVATION DR, DUBLIN, OH 43017	TECHNICAL SUPPORT SERVICES	1,717,959.
LEVEL 3 COMMUNICATIONS LLC, 250 W OLD WILSON BRIDGE RD #130, WORTHINGTON, OH	TECHNICAL SERVICES	507,158.
MARSH & MCLENNAN AGENCY LLC 655 METRO PL S #380, DUBLIN, OH 43017	CONSULTING SERVICES	430,612.
OXFORD GLOBAL RESOURCES LLC, 4555 LAKE FOREST DR #430, CINCINNATI, OH 45242	PROJECT CONSULTING	376,009.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization

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SEE PART VII, SECTION A CONTINUATION SHEETS

Form 990 (2019)

Part VIII Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII ☐

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a					
	b Membership dues	1b					
	c Fundraising events	1c					
	d Related organizations	1d					
	e Government grants (contributions)	1e	634,748.				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	1,733,488.				
	g Noncash contributions included in lines 1a-1f	1g	\$				
	h Total. Add lines 1a-1f			2,368,236.			
Program Service Revenue			Business Code				
	2 a METADATA		519100	91,128,419.	91,128,419.		
	b MANAGEMENT SYSTEMS		519100	55,847,670.	55,847,670.		
	c RESOURCE SHARE SVCS		519100	42,868,469.	42,868,469.		
	d END USER SERVICES		519100	18,476,010.	18,476,010.		
	e						
	f All other program service revenue		519100	2,505,066.	2,505,066.		
	g Total. Add lines 2a-2f			210,825,634.			
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)			8,771,026.			8,771,026.
	4 Income from investment of tax-exempt bond proceeds						
	5 Royalties			727.			727.
	6 a Gross rents	(i) Real	(ii) Personal				
	6a	702,180.					
	b Less rental expenses						
	6b	996,209.					
	c Rental income or (loss)						
	6c	<294,029.>					
	d Net rental income or (loss)			<294,029.>			<294,029.>
	7 a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
	7a	79,816,622.	879.				
	b Less cost or other basis and sales expenses						
	7b	89,203,532.	201,600.				
	c Gain or (loss)						
7c	<9,386,910.>	<200,721.>					
d Net gain or (loss)			<9,587,631.>			<9,587,631.>	
8 a Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18							
8a							
b Less direct expenses							
8b							
c Net income or (loss) from fundraising events							
9 a Gross income from gaming activities See Part IV, line 19							
9a							
b Less direct expenses							
9b							
c Net income or (loss) from gaming activities							
10 a Gross sales of inventory, less returns and allowances							
10a							
b Less cost of goods sold							
10b							
c Net income or (loss) from sales of inventory							
Miscellaneous Revenue			Business Code				
	11 a WEB-LINKING COMMISSION		519100	82,496.		82,496.	
	b						
	c						
	d All other revenue						
	e Total. Add lines 11a-11d			82,496.			
12 Total revenue. See instructions			212,166,459.	210,825,634.	82,496.	<1,109,907.>	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	33,150.	33,150.		
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16	12,859.	12,859.		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	7,544,720.	3,298,591.	4,246,129.	
6 Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	102,581,583.	92,772,767.	9,808,816.	
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	7,670,638.	7,293,233.	377,405.	
9 Other employee benefits	11,059,998.	9,285,306.	1,774,692.	
10 Payroll taxes	6,624,113.	5,947,105.	677,008.	
11 Fees for services (nonemployees)				
a Management				
b Legal	976,108.		976,108.	
c Accounting	569,401.		569,401.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees	123,371.		123,371.	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	8,285,626.	7,711,605.	574,021.	
12 Advertising and promotion	332,891.	332,891.		
13 Office expenses	3,106,091.	2,528,735.	577,356.	
14 Information technology	15,202,791.	13,055,103.	2,147,688.	
15 Royalties	3,241,618.	3,241,618.		
16 Occupancy	6,243,795.	6,243,219.	576.	
17 Travel	3,703,781.	3,522,292.	181,489.	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	757,463.	755,236.	2,227.	
20 Interest	<4,192,427.>	<4,192,427.>		
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	29,472,402.	26,402,994.	3,069,408.	
23 Insurance	709,704.	642,768.	66,936.	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a OTHER NONOPERATING-PROG	4,561,023.	4,561,023.	0.	
b PRODUCT & DISTRIBUTION	2,395,458.	2,395,458.	0.	
c OTHER NONOPERATING-MGT	914,156.	0.	914,156.	
d				
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	211,930,313.	185,843,526.	26,086,787.	0.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Check here ☐ if following SOP 98-2 (ASC 958-720)

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	747.	1	
	2 Savings and temporary cash investments	12,897,339.	2	33,278,436.
	3 Pledges and grants receivable, net	139,527.	3	
	4 Accounts receivable, net	35,468,832.	4	43,069,186.
	5 Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons	22,500.	5	11,250.
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use	64,403.	8	11,957.
	9 Prepaid expenses and deferred charges	11,889,101.	9	10,106,756.
	10a Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a 149,257,119.		
	b Less accumulated depreciation	10b 101,617,728.		
	11 Investments - publicly traded securities	210,697,370.	11	215,811,944.
	12 Investments - other securities See Part IV, line 11	22,275,758.	12	10,792,699.
	13 Investments - program-related See Part IV, line 11		13	
	14 Intangible assets	76,072,389.	14	89,124,718.
	15 Other assets See Part IV, line 11	4,867,644.	15	3,536,400.
16 Total assets. Add lines 1 through 15 (must equal line 33)	434,645,398.	16	453,382,737.	
Liabilities	17 Accounts payable and accrued expenses	38,036,149.	17	45,457,339.
	18 Grants payable		18	
	19 Deferred revenue	36,244,648.	19	46,432,274.
	20 Tax-exempt bond liabilities	57,238,106.	20	68,283,459.
	21 Escrow or custodial account liability Complete Part IV of Schedule D		21	
	22 Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D	37,513,528.	25	29,068,130.
	26 Total liabilities. Add lines 17 through 25	169,032,431.	26	189,241,202.
Net Assets or Fund Balances	Organizations that follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 27, 28, 32, and 33.			
	27 Net assets without donor restrictions		27	
	28 Net assets with donor restrictions		28	
	Organizations that do not follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 29 through 33.			
	29 Capital stock or trust principal, or current funds	0.	29	0.
	30 Paid-in or capital surplus, or land, building, or equipment fund	0.	30	0.
	31 Retained earnings, endowment, accumulated income, or other funds	265,612,967.	31	264,141,535.
	32 Total net assets or fund balances	265,612,967.	32	264,141,535.
33 Total liabilities and net assets/fund balances	434,645,398.	33	453,382,737.	

Form **990** (2019)

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	212,166,459.
2	Total expenses (must equal Part IX, column (A), line 25)	2	211,930,313.
3	Revenue less expenses Subtract line 2 from line 1	3	236,146.
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	265,612,967.
5	Net unrealized gains (losses) on investments	5	7,515,964.
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain on Schedule O)	9	<9,223,542.>
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 32, column (B))	10	264,141,535.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

☐

	Yes	No
1 Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O	X	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits		

Form 990 (2019)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No 1545-0047

2019

**Open to Public
Inspection**

Name of the organization

OCLC, INC.

Employer identification number

31-0734115

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state _____
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 ☐ An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university _____
- 10 ☒ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
- a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
- b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
- c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
- d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
- e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
- f Enter the number of supported organizations
- g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. 932021 09-25-19 Schedule A (Form 990 or 990-EZ) 2019

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
11 Total support. Add lines 7 through 10						
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. ▶ <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2019 (line 6, column (f) divided by line 11, column (f))	14	%
15 Public support percentage from 2018 Schedule A, Part II, line 14	15	%
16a 33 1/3% support test - 2019. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>		
b 33 1/3% support test - 2018. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>		
17a 10% -facts-and-circumstances test - 2019. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>		
b 10% -facts-and-circumstances test - 2018. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ <input type="checkbox"/>		

Schedule A (Form 990 or 990-EZ) 2019

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	621,971.	440,472.	770,245.	755,551.	2,368,236.	4,956,475.
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose	195,553,076.	206,042,058.	215,746,061.	220,142,270.	210,825,634.	1048309099.
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5	196,175,047.	206,482,530.	216,516,306.	220,897,821.	213,193,870.	1053265574.
7a Amounts included on lines 1, 2, and 3 received from disqualified persons	988,570.	12,626.	11,641.			1,012,837.
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year	326,163.					326,163.
c Add lines 7a and 7b	1,314,733.	12,626.	11,641.			1,339,000.
8 Public support. (Subtract line 7c from line 6.)						1051926574.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
9 Amounts from line 6	196,175,047.	206,482,530.	216,516,306.	220,897,821.	213,193,870.	1053265574.
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources	9,395,678.	9,357,828.	11,195,204.	11,848,038.	9,473,933.	51,270,681.
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975	47,274.	44,695.	42,446.	93,234.	46,057.	273,706.
c Add lines 10a and 10b	9,442,952.	9,402,523.	11,237,650.	11,941,272.	9,519,990.	51,544,387.
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)	205,617,999.	215,885,053.	227,753,956.	232,839,093.	222,713,860.	1104809961.

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2019 (line 8, column (f), divided by line 13, column (f))	15	95.21 %
16 Public support percentage from 2018 Schedule A, Part III, line 15	16	94.55 %

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2019 (line 10c, column (f), divided by line 13, column (f))	17	4.67 %
18 Investment income percentage from 2018 Schedule A, Part III, line 17	18	4.92 %

19a 33 1/3% support tests - 2019. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☒

b 33 1/3% support tests - 2018. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.		
4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI .		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI .		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI .		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI .		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below.		
b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)		

Part IV Supporting Organizations (continued)

- 11 Has the organization accepted a gift or contribution from any of the following persons?
- a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?
- b A family member of a person described in (a) above?
- c A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.

	Yes	No
11a		
11b		
11c		

Section B. Type I Supporting Organizations

- 1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.
- 2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.

	Yes	No
1		
2		

Section C. Type II Supporting Organizations

- 1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).

	Yes	No
1		

Section D. All Type III Supporting Organizations

- 1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?
- 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).
- 3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.

	Yes	No
1		
2		
3		

Section E. Type III Functionally Integrated Supporting Organizations

- 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).
- a ☐ The organization satisfied the Activities Test. Complete line 2 below.
- b ☐ The organization is the parent of each of its supported organizations. Complete line 3 below.
- c ☐ The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).

2 Activities Test. Answer (a) and (b) below.

- a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.
- b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.

	Yes	No
2a		
2b		
3a		
3b		

3 Parent of Supported Organizations. Answer (a) and (b) below.

- a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.
- b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8	

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	Total (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI)		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	Minimum Asset Amount (add line 7 to line 6)	8	

Section C - Distributable Amount		Current Year	
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	

7 ☐ Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).

Schedule A (Form 990 or 990-EZ) 2019

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI) See instructions	
7 Total annual distributions. Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions	
9 Distributable amount for 2019 from Section C, line 6	
10 Line 8 amount divided by line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2019	(iii) Distributable Amount for 2019
1 Distributable amount for 2019 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2019 (reasonable cause required explain in Part VI) See instructions			
3 Excess distributions carryover, if any, to 2019			
a From 2014			
b From 2015			
c From 2016			
d From 2017			
e From 2018			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2019 distributable amount			
i Carryover from 2014 not applied (see instructions)			
j Remainder Subtract lines 3g, 3h, and 3i from 3f			
4 Distributions for 2019 from Section D, line 7 \$			
a Applied to underdistributions of prior years			
b Applied to 2019 distributable amount			
c Remainder Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2019, if any Subtract lines 3g and 4a from line 2 For result greater than zero, explain in Part VI. See instructions			
6 Remaining underdistributions for 2019 Subtract lines 3h and 4b from line 1 For result greater than zero, explain in Part VI See instructions			
7 Excess distributions carryover to 2020. Add lines 3j and 4c			
8 Breakdown of line 7			
a Excess from 2015			
b Excess from 2016			
c Excess from 2017			
d Excess from 2018			
e Excess from 2019			

Schedule A (Form 990 or 990-EZ) 2019

Part VI

Supplemental Information. Provide the explanations required by Part II, line 10, Part II, line 17a or 17b, Part III, line 12, Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c, Part IV, Section B, lines 1 and 2, Part IV, Section C, line 1, Part IV, Section D, lines 2 and 3, Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b, Part V, line 1, Part V, Section B, line 1e, Part V, Section D, lines 5, 6, and 8, and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information (See instructions).

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes" on Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No 1545-0047

2019

Open to Public
Inspection

Name of the organization

OCLC, INC.

Employer identification number

31-0734115

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" on Form 990, Part IV, line 6

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		<input type="checkbox"/> Yes <input type="checkbox"/> No

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7

1 Purpose(s) of conservation easements held by the organization (check all that apply)

<input type="checkbox"/> Preservation of land for public use (for example, recreation or education)	<input type="checkbox"/> Preservation of a historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8

1a If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide in Part XIII the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenue included on Form 990, Part VIII, line 1	▶ \$ _____
(ii) Assets included in Form 990, Part X	▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items

a Revenue included on Form 990, Part VIII, line 1	▶ \$ _____
b Assets included in Form 990, Part X	▶ \$ _____

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2019

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that make significant use of its collection items (check all that apply)

- a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange program
e ☐ Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table

- c Beginning balance
d Additions during the year
e Distributions during the year
f Ending balance

	Amount
1c	
1d	
1e	
1f	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII ☐

Part V Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

- a Board designated or quasi-endowment ▶ _____ %
b Permanent endowment ▶ _____ %
c Term endowment ▶ _____ %

The percentages on lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

- (i) Unrelated organizations
(ii) Related organizations

	Yes	No
3a(i)		
3a(ii)		
3b		

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		9,705,121.		9,705,121.
b Buildings		71,393,758.	56,731,222.	14,662,536.
c Leasehold improvements		3,457,799.	2,421,776.	1,036,023.
d Equipment		64,700,441.	42,464,730.	22,235,711.
e Other				
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c)				47,639,391.

Schedule D (Form 990) 2019

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

Part X Other Liabilities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) DEFERRED RETIREMENT	324,082.
(3) POST RETIREMENT BENEFITS	18,075,315.
(4) MEMBERS SUBSCRIPTION BALANCES	9,108,973.
(5) OTHER LIABILITIES	1,559,760.
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	

29,068,130.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII ☒

Schedule D (Form 990) 2019

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a

1	Total revenue, gains, and other support per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12			
	a Net unrealized gains (losses) on investments	2a		
	b Donated services and use of facilities	2b		
	c Recoveries of prior year grants	2c		
	d Other (Describe in Part XIII)	2d		
	e Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1			
	a Investment expenses not included on Form 990, Part VIII, line 7b	4a		
	b Other (Describe in Part XIII)	4b		
	c Add lines 4a and 4b		4c	
5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12)		5	

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a

1	Total expenses and losses per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25			
	a Donated services and use of facilities	2a		
	b Prior year adjustments	2b		
	c Other losses	2c		
	d Other (Describe in Part XIII)	2d		
	e Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1			
	a Investment expenses not included on Form 990, Part VIII, line 7b	4a		
	b Other (Describe in Part XIII)	4b		
	c Add lines 4a and 4b		4c	
5	Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18)		5	

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART X, LINE 2:

INFORMATION REQUIRED IN SCH D, PART X REGARDING THE FINANCIAL STATEMENT

FOOTNOTE FOR LIABILITY FOR UNCERTAIN TAX POSITIONS UNDER FIN 48 (FASB

INTERPRETATION NO. 48, ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES). THIS

IS NOW CODIFIED AS ACCOUNTING STANDARDS CODIFICATION (ASC) 740-10. THE

TEXT OF THE FOOTNOTE IN OCLC'S CONSOLIDATED FINANCIAL STATEMENTS AS

AUDITED BY OCLC'S INDEPENDENT AUDITOR, BDO, IS AS FOLLOWS:

ACCOUNTING STANDARDS CODIFICATION (ASC) 740-10, INCOME TAXES, ADDRESSES

THE ACCOUNTING FOR UNCERTAINTIES IN INCOME TAXES RECOGNIZED IN AN

ENTERPRISE'S FINANCIAL STATEMENTS AND PRESCRIBES A THRESHOLD OF

"MORE-LIKELY-THAN-NOT" FOR RECOGNITION OF TAX POSITIONS TAKEN OR EXPECTED

Part XIII Supplemental Information (continued)

TO BE TAKEN IN A TAX RETURN. ASC 740-10 ALSO PROVIDES RELATED GUIDANCE ON

MEASUREMENT, CLASSIFICATION, INTEREST AND PENALTIES, AND DISCLOSURE. OCLC

EVALUATED ITS TAX POSITIONS AT JUNE 30, 2020 AND 2019. BASED ON THIS

EVALUATION, OCLC DETERMINED THAT THERE WAS NO MATERIAL IMPACT TO THE

CORPORATION'S CONSOLIDATED FINANCIAL STATEMENTS.

SCHEDULE F
(Form 990)Department of the Treasury
Internal Revenue Service**Statement of Activities Outside the United States**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No 1545-0047

2019**Open to Public
Inspection**

Name of the organization

Employer identification number

OCLC, INC.

31-0734115

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b

1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ **Yes** ☐ **No**

2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States

3 Activities per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
EAST ASIA AND THE PACIFIC	3	25	PROGRAM SERVICES	CHINA AND AUSTRALIA PROVIDING INFORMATION ABOUT OCLC SERVICES TO LIBRARIES.	4,028,000.
NORTH AMERICA (OUTSIDE USA)	1	7	PROGRAM SERVICES	QUEBEC AND ALBERTA (HOME OFFICE). SALES SOLICITATION AND INFORMATION ABOUT OCLC	1,458,000.
NORTH AMERICA (OUTSIDE USA)	1	12	PROGRAM SERVICES	MANITOBA. TECHNICAL SERVICES FOR OCLC CUSTOMERS.	976,000.
EUROPE	7	352	PROGRAM SERVICES	6 DISREGARDED SUBS PROVIDING LIBRARY SERVICES AND SUPPORT TO LIBRARIES IN EUROPE.	44,173,000.
EUROPE			FY20 BOARD AND EMEA REGIONAL COUNCIL MEETING WAS NOT HELD IN PERSON		0.
EAST ASIA AND THE PACIFIC			GOVERNANCE - REGIONAL COUNCIL MEETING IN SINGAPORE		44,000.
3 a Subtotal	12	396			50,679,000.
b Total from continuation sheets to Part I	0	0			0.
c Totals (add lines 3a and 3b)	12	396			50,679,000.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2019

SEE PART V FOR COLUMN (E) DESCRIPTIONS

Part IV Foreign Forms

- 1 Was the organization a U S transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U S Transferor of Property to a Foreign Corporation (see Instructions for Form 926) ☐ Yes ☒ No
- 2 Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U S Owner (see Instructions for Forms 3520 and 3520-A, don't file with Form 990) ☐ Yes ☒ No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U S Persons With Respect to Certain Foreign Corporations (see Instructions for Form 5471) ☒ Yes ☐ No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621) ☒ Yes ☐ No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U S Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865) ☐ Yes ☒ No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713, don't file with Form 990) ☒ Yes ☐ No

Schedule F (Form 990) 2019

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

PART I, LINE 2:

OCLC PROVIDES LIBRARY AND INFORMATION SCIENCE RESEARCH GRANT TO LIBRARIES

OUTSIDE THE UNITED STATES. THE GRANTEES ARE REQUIRED TO FURNISH A FINAL

PROJECT REPORT WHICH IS NONPROPRIETARY AND IN THE PUBLIC DOMAIN.

PART I, LINE 3, COLUMN (E):

REGION. NORTH AMERICA (OUTSIDE USA)

(E) SPECIFIC TYPES OF SERVICES IN REGION: QUEBEC AND ALBERTA (HOME

OFFICE). SALES SOLICITATION AND INFORMATION ABOUT OCLC PRODUCTS AND

SERVICES.

**SCHEDULE I
(Form 990)**

Department of the Treasury
Internal Revenue Service

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**
Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2019

Open to Public
Inspection

Name of the organization

OCLC, INC.

Employer identification number

31-0734115

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AMERICAN LIBRARY ASSOCIATION 50 EAST HURON STREET CHICAGO, IL 60611	36-2156947	501(C)(3)	5,400.	0.			OPERATING SUPPORT AND FUNDING FOR VARIOUS AWARDS.
UNITED WAY OF CENTRAL OHIO 360 SOUTH THIRD STREET COLUMBUS, OH 43215	31-4393712	501(C)(3)	10,000.	0.			OPERATING SUPPORT
LIBRARY OF CONGRESS 101 INDEPENDENCE AVE SE WASHINGTON, DC 20540	53-6002532	501(C)(3)	3,750.	0.			OPERATING SUPPORT
PELOTONIA 450 WEST BROAD STREET COLUMBUS, OH 43215	82-4997087	501(C)(3)	4,000.	0.			LIBRARY AND INFO SCIENCE RESEARCH GRANT
COLUMBUS METROPOLITAN LIBRARY 96 S. GRANT STREET COLUMBUS, OH 43215	31-6401170	501(C)(3)	10,000.	0.			OPERATING SUPPORT FOR CODE4LIB CONFERENCE.

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

5.

3 Enter total number of other organizations listed in the line 1 table

5.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2019)

Part IV Supplemental Information

ARE FURNISHED TO OCLC IN A FINAL PROJECT REPORT WHICH MUST BE

NONPROPRIETARY AND IN THE PUBLIC DOMAIN.

OTHER CONTRIBUTIONS ARE MADE FOR THE GENERAL OPERATING SUPPORT OF

VARIOUS GOVERNMENTAL ORGANIZATIONS AND NATIONAL OR LOCAL PUBLIC

CHARITIES.

**SCHEDULE J
(Form 990)**

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No 1545-0047

2019

Open to Public Inspection

Name of the organization

OCLC, INC.

Employer identification number

31-0734115

Part I Questions Regarding Compensation

- 1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.
- | | |
|---|---|
| <input checked="" type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions | <input checked="" type="checkbox"/> Payments for business use of personal residence |
| <input checked="" type="checkbox"/> Tax indemnification and gross-up payments | <input checked="" type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (such as maid, chauffeur, chef) |
- b** If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain
- 2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?
- 3** Indicate which, if any, of the following the organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.
- | | |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |
- 4** During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:
- a** Receive a severance payment or change-of-control payment?
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c** Participate in, or receive payment from, an equity-based compensation arrangement?
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.
- Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.**
- 5** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:
- a** The organization?
- b** Any related organization?
- If "Yes" on line 5a or 5b, describe in Part III.
- 6** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:
- a** The organization?
- b** Any related organization?
- If "Yes" on line 6a or 6b, describe in Part III.
- 7** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III.
- 8** Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.
- 9** If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1b	X	
2	X	
4a	X	
4b	X	
4c		X
5a		X
5b		X
6a		X
6b		X
7	X	
8	X	
9		X

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2019

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) PRESAS, JULIE GEN COUNSEL, VP OF LEGAL S	(i) 221,008.	123,000.	9,101.	50,788.	5,673.	409,570.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
(2) PRICHARD, DAVID PRESIDENT & CEO	(i) 817,633.	1,017,618.	44,392.	78,285.	20,137.	1,978,065.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
(3) ROZEK, WILLIAM CFO, TREASURER	(i) 375,694.	281,135.	8,071.	78,283.	50.	743,233.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
(4) BORDAS, ANDREW VP MANAGEMENT AND CUSTOMER	(i) 232,163.	131,285.	1,638.	44,709.	20,059.	429,854.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
(5) CROCCO, BRUCE VP LIBRARY SERVICES FOR TH	(i) 210,272.	143,451.	5,842.	55,640.	12,636.	427,841.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
(6) DEMPSEY, LORCAN VP OCLC RESEARCH & CHIEF S	(i) 290,069.	164,216.	12,288.	77,588.	20,238.	564,399.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
(7) MURPHY, BART CHIEF INFORMATION OFFICER	(i) 325,700.	100,959.	2,922.	24,833.	5,687.	460,101.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
(8) NILGES, WILLIAM VP BUSINESS DEVELOPMENT	(i) 211,807.	120,422.	4,044.	68,981.	20,057.	425,311.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
(9) SAUER-GAMES, MARY VP PRODUCT MANAGEMENT	(i) 235,656.	132,016.	4,986.	51,941.	5,675.	430,274.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
(10) SPAYDE, TAMMI VP CORPORATE HUMAN RESOURC	(i) 312,261.	163,387.	7,550.	53,885.	20,070.	557,153.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
(11) VAN LUBEK, ERIC VP MANAGING DIRECTOR EMEA	(i) 333,141.	47,045.	24,364.	22,245.	0.	426,795.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
(12) HOHLBEIN, BONNIE CONTROLLER	(i) 210,810.	53,577.	5,070.	49,318.	5,672.	324,447.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
(13) GRIMES, NIC EXEC DIR - FINANCE	(i) 172,862.	50,610.	1,258.	29,952.	18,998.	273,680.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
(14) LIVINGSTON, SCOTT EXEC DIR - SOFTWARE DEVELO	(i) 203,575.	54,790.	2,788.	36,416.	20,226.	317,795.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
(15) HEYMAN, MARTI EXEC. DIR - METADATA STRAT	(i) 188,461.	38,843.	3,333.	41,353.	12,713.	284,703.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
(16) PEARSON, LORIANN EXEC DIR - APPLICATIONS SE	(i) 186,269.	44,347.	1,919.	21,538.	12,112.	266,185.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.

Schedule J (Form 990) 2019

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 1A:

FIRST-CLASS OR CHARTER TRAVEL. AT HIS DISCRETION, DAVID PRICHARD, PRESIDENT

& CEO, IS ALLOWED TO USE FIRST-CLASS AIRLINE TRAVEL FOR BUSINESS PURPOSES

FOR FLIGHTS OVER 2.5 HOURS WITHIN THE UNITED STATES.

TAX INDEMNIFICATION AND GROSS-UP PAYMENTS. SPOT BONUSES AND CERTAIN MOVING

EXPENSE PAYMENTS ARE GROSSED UP.

PAYMENTS FOR BUSINESS USE OF PERSONAL RESIDENCE: CARL BUSH, EXECUTIVE

DIRECTOR OF US LIBRARY SERVICES, AND DONALD LITNER, LIBRARY SERVICES

CONSULTANT, TRAVEL EXTENSIVELY ON BEHALF OF OCLC AND USE THEIR RESPECTIVE

PERSONAL RESIDENCES AS A HOME BASE. THEY EACH RECEIVE AN ANNUAL ALLOWANCE

FOR BUSINESS USE OF THEIR RESIDENCE, AND THE AMOUNT IS INCLUDED IN THEIR

TAXABLE COMPENSATION.

HEALTH OR SOCIAL CLUB DUES. OCLC PROVIDES A FITNESS CLUB ALLOWANCE FOR ANY

EMPLOYEE NOT WORKING AT OCLC'S DUBLIN, OHIO HEADQUARTERS. DONALD LITNER,

LIBRARY SERVICES CONSULTANT, RECEIVES SUCH AN ALLOWANCE, AND THE AMOUNT IS

INCLUDED IN HIS TAXABLE COMPENSATION.

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINES 4A-B

SOME INDIVIDUALS REPORTED IN PART VII PARTICIPATED IN A SPLIT-DOLLAR LIFE

INSURANCE PLAN. THEY ARE LISTED BELOW TOGETHER WITH THE AMOUNT OF TAXABLE

COMPENSATION REPORTED DUE TO THEIR PARTICIPATION.

BRUCE CROCCO 2,950

LORCAN DEMPSEY 4,006

WILLIAM NILGES 1,983

PART I, LINE 7:

OCLC MAINTAINS THREE DIFFERENT INCENTIVE COMPENSATION PLANS THAT ARE BASED

IN PART ON THE GLOBAL PERFORMANCE OF OCLC AND ITS SUBSIDIARIES. A

PERCENTAGE OF TOTAL COMPENSATION IS CONSIDERED VARIABLE COMPENSATION AND

DEPENDS UPON THE OUTCOME OF SPECIFIC PLAN OBJECTIVES. THE PLANS ARE THE

SALES INCENTIVE PLAN (SIP), THE MANAGEMENT INCENTIVE PLAN (MIP) AND THE

LONG-TERM INCENTIVE PLAN (LTIP). EXECUTIVES ARE PARTICIPANTS IN BOTH THE

MIP AND THE LTIP. ALL PERFORMANCE AWARDS FOR THE CEO AND OTHER EXECUTIVES

ARE REVIEWED AND APPROVED BY THE OCLC BOARD OF TRUSTEES. ALL INCENTIVE

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PLANS ARE REGULARLY REVIEWED BY OUTSIDE COMPENSATION CONSULTANTS, THE LAST

SUCH OCCURRING IN MARCH 2018.

PART I, LINE 8:

THE ORGANIZATION ENGAGED A NEW EXECUTIVE DURING THE FISCAL YEAR ENDING JUNE

30, 2014, WHO WAS NOT A DISQUALIFIED PERSON PRIOR TO ENTERING INTO THE

CONTRACT. THE BASE SALARY WAS FIXED AND THUS SUBJECT TO THE INITIAL

CONTRACT EXCEPTION. NEVERTHELESS, THE ORGANIZATION FOLLOWED THE REBUTTABLE

PRESUMPTION PROCEDURES IN DETERMINING THE REASONABLENESS OF THE EXECUTIVE'S

COMPENSATION.

DESCRIPTION OF OCLC MANAGEMENT SAVINGS PLAN

EFFECTIVE JULY 1, 1997, AND EXTENDING THROUGH APRIL 30, 2002 CERTAIN

EMPLOYEES AND TRUSTEES ELECTED TO PARTICIPATE IN THE OCLC MANAGEMENT

SAVINGS PLAN (THE PLAN), A NON-QUALIFIED INCENTIVE BENEFIT PROGRAM FOR

CERTAIN EMPLOYEES AND TRUSTEES WHO CONTRIBUTED TO THE SUCCESS OF OCLC.

EFFECTIVE MAY 1, 2002, THE PLAN WAS FROZEN AND NO ADDITIONAL

CONTRIBUTIONS HAVE BEEN, OR WILL BE, ACCEPTED. EARNINGS ON EXISTING

BALANCES ARE DISTRIBUTED ANNUALLY TO PARTICIPANTS AND INCLUDED ON W-2S

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

AND 1099S IN THE YEAR OF DISTRIBUTION. UNDER THE PLAN PARTICIPANTS

RECEIVE THE RIGHT TO PURCHASE MUTUAL FUND SHARES FOR A FIXED PRICE.

SUCH RIGHT CAN, GENERALLY, BE EXERCISED AT ANY TIME WITHIN A 20-YEAR

PERIOD FOLLOWING THE DATE OF GRANT. HOWEVER, IN THE CASE OF A

PARTICIPANT'S DEATH, RIGHTS HELD BY SUCH PARTICIPANT MUST BE EXERCISED

WITHIN SIX MONTHS FROM THE DATE OF DEATH, AND IN THE CASE OF

TERMINATION OF EMPLOYMENT (EXCEPT FOR RETIREMENT) RIGHTS HELD BY THE

TERMINATED PARTICIPANT MUST BE EXERCISED WITHIN SIX MONTHS OF THE DATE

OF TERMINATION (UNLESS EXTENDED BY THE PRESIDENT). COMPENSATION INCOME

ATTRIBUTABLE TO RIGHTS GRANTED UNDER THE PLAN, FOR TAX REPORTING

PURPOSES, IS NEITHER DETERMINABLE AT THE TIME OF GRANT THEREOF, NOR AT

OCLC'S FISCAL YEAR END, IF STILL OUTSTANDING. MORE SPECIFICALLY, IT IS

ONLY UPON EXERCISE OF ONE OF THESE RIGHTS THAT A PARTICIPANT MUST

RECOGNIZE COMPENSATION INCOME, FOR TAX REPORTING PURPOSES.

PARTICIPANTS IN THE PLAN REPORTED ON THIS RETURN:

ROBERT JORDAN. FORMER PRESIDENT AND CEO

RICK SCHWIETERMAN. FORMER EXECUTIVE VP AND CFO

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

LORCAN DEMPSEY, VP RESEARCH & STRATEGIST

**SCHEDULE K
(Form 990)**
Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax-Exempt Bonds
 ► Complete if the organization answered "Yes" on Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
 ► Attach to Form 990. ► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019
Open to Public Inspection

Name of the organization

OCLC, INC.

Employer identification number

31-0734115

Part I Bond Issues

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pooled financing	
						Yes	No	Yes	No	Yes	No
COLUMBUS-FRANKLIN COUNTY FINANCE A AUTHORITY	57-1239430	NONEAVAIL	10/28/14	30,000,000. SEE PART VI			X		X		X
COLUMBUS-FRANKLIN COUNTY FINANCE B AUTHORITY	57-1239430	NONEAVAIL	04/14/16	30,000,000. SEE PART VI			X		X		X
COLUMBUS-FRANKLIN COUNTY FINANCE C AUTHORITY	57-1239430	NONEAVAIL	03/28/18	30,000,000. SEE PART VI			X		X		X
COLUMBUS-FRANKLIN COUNTY FINANCE D AUTHORITY	57-1239430	NONEAVAIL	11/26/20	30,000,000. SEE PART VI			X		X		X

Part II Proceeds

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Amount of bonds retired		24,033,809.		16,681,606.		8,998,410.		2,002,716.
2 Amount of bonds legally defeased								
3 Total proceeds of issue		30,000,000.		30,000,000.		30,000,000.		30,000,000.
4 Gross proceeds in reserve funds								
5 Capitalized interest from proceeds								
6 Proceeds in refunding escrows								
7 Issuance costs from proceeds								
8 Credit enhancement from proceeds								
9 Working capital expenditures from proceeds								
10 Capital expenditures from proceeds		30,000,000.		30,000,000.		30,000,000.		30,000,000.
11 Other spent proceeds								
12 Other unspent proceeds								
13 Year of substantial completion	2014		2016		2018		2019	
14 Were the bonds issued as part of a refunding issue of tax-exempt bonds (or, if issued prior to 2018, a current refunding issue)?		X		X		X		X
15 Were the bonds issued as part of a refunding issue of taxable bonds (or, if issued prior to 2018, an advance refunding issue)?		X		X		X		X
16 Has the final allocation of proceeds been made?	X		X		X		X	
17 Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule K (Form 990) 2019

Part III Private Business Use

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2 Are there any lease arrangements that may result in private business use of bond-financed property?		X		X		X		X
3a Are there any management or service contracts that may result in private business use of bond-financed property?		X		X		X		X
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?								
c Are there any research agreements that may result in private business use of bond-financed property?		X		X		X		X
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government		%		%		%		%
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government		%		%		%		%
6 Total of lines 4 and 5		%		%		%		%
7 Does the bond issue meet the private security or payment test?		X		X		X		X
8a Has there been a sale or disposition of any of the bond-financed property to a non-governmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of		%		%		%		%
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?								
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X		X		X	

Part IV Arbitrage

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2 If "No" to line 1, did the following apply?								
a Rebate not due yet?		X		X		X		X
b Exception to rebate?	X		X		X		X	
c No rebate due?		X		X		X		X
If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3 Is the bond issue a variable rate issue?		X		X		X		X

Part IV Arbitrage (continued)

4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?	A	B	C	D
		Yes	No	Yes	No
			X		X
b	Name of provider				
c	Term of hedge				
d	Was the hedge superintegrated?				
e	Was the hedge terminated?				
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X
b	Name of provider				
c	Term of GIC				
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?				
6	Were any gross proceeds invested beyond an available temporary period?		X		X
7	Has the organization established written procedures to monitor the requirements of section 148?	X		X	X

Part V Procedures To Undertake Corrective Action

Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation isn't available under applicable regulations?	A	B	C	D
	Yes	No	Yes	No
	X		X	X

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K. See instructions.

SCH K, PART I
BOND A, COLUMN F - TO ACQUIRE REAL PROPERTY AND EQUIPMENT
BOND B, COLUMN F - TO ACQUIRE REAL PROPERTY, EQUIPMENT, DATABASES, AND SOFTWARE
BOND C, COLUMN F - TO ACQUIRE REAL PROPERTY, EQUIPMENT, AND DATABASES
BOND D, COLUMN F - TO ACQUIRE REAL PROPERTY, EQUIPMENT, AND DATABASES

(Form 990 or 990-EZ)

QMB No. 1545-0047

2019

Open To Public Inspection

Department of the Treasury
Internal Revenue Service

► Complete if the organization answered "Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.

▶ **Attach to Form 990 or Form 990-EZ.**

► Go to www.irs.gov/Form990 for instructions and the latest information.

Name of the organization

OCLC, INC.

Employer identification number

31-0734115

Part I	Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and section 501(c)(29) organizations only)
---------------	--

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

[illegible]

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958

► \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization

▶ \$

Part II	Loans to and/or From Interested Persons.
----------------	---

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
LORCAN DEMPSEY	OFFICER	PART V		X	50,000.	11,250.		X		X	X	
Total						\$ 11,250.						

Total	\$	11,250
-------	----	--------

Part III	Grants or Assistance Benefiting Interested Persons.
-----------------	--

Complete if the organization answered "Yes" on Form 990, Part IV, line 27

[illegible]

Part IV	Business Transactions Involving Interested Persons.
----------------	--

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c

[illegible]

Part V	Supplemental Information.
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Provide additional information for responses to questions on Schedule L (see instructions)

SCHEDULE L, PART II

OCLC LOANED FUNDS TO THIS OFFICER TO FACILITATE HIS RELOCATION TO

OCLC'S HEADQUARTERS IN DUBLIN, OHIO DURING A PRIOR FISCAL YEAR. THESE

FUNDS WERE USED TO FINANCE A NEW PRINCIPAL RESIDENCE. THE LOAN IS THE

RESULT OF ARMS-LENGTH NEGOTIATION AND PROVIDES FOR A BALLOON REPAYMENT

AT THE END OF THE TERM, INTEREST IMPUTED ON THIS LOAN AS DESCRIBED IN

SECTION 7872 OF THE INTERNAL REVENUE CODE IS REPORTED IN PART VII AND

SCHEDULE J OF THIS RETURN.

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2019

**Open to Public
Inspection**

Name of the organization

OCLC, INC.

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FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

PROMOTE THE EVOLUTION OF LIBRARY USE, OF LIBRARIES THEMSELVES AND OF
LIBRARIANSHIP, AND TO PROVIDE PROCESSES AND PRODUCTS FOR THE BENEFIT OF
LIBRARY USERS AND LIBRARIES, INCLUDING SUCH OBJECTIVES AS INCREASING
AVAILABILITY OF LIBRARY RESOURCES TO INDIVIDUAL LIBRARY PATRONS AND
REDUCING THE RATE-OF-RISE OF LIBRARY PER-UNIT COSTS, ALL FOR THE
FUNDAMENTAL PUBLIC PURPOSE OF FURTHERING EASE OF ACCESS TO AND USE OF
THE EVER-EXPANDING BODY OF WORLDWIDE SCIENTIFIC, LITERARY AND
EDUCATIONAL KNOWLEDGE AND INFORMATION.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

INCREASING AVAILABILITY OF LIBRARY RESOURCES TO INDIVIDUAL LIBRARY
PATRONS AND REDUCING THE RATE-OF-RISE OF LIBRARY PER-UNIT COSTS, ALL
FOR THE FUNDAMENTAL PUBLIC PURPOSE OF FURTHERING EASE OF ACCESS TO AND
USE OF THE EVER-EXPANDING BODY OF WORLDWIDE SCIENTIFIC, LITERARY AND
EDUCATIONAL KNOWLEDGE AND INFORMATION.

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

LIBRARIES, STAFF AND PARTNERS MAKE BREAKTHROUGHS POSSIBLE.

OCLC NARRATIVE FOR FY20

IN 2019, OCLC MEMBERS WORKED TOGETHER IN NEW WAYS, MADE POSSIBLE BY THE
UNIQUE STRENGTHS OF THE OCLC COOPERATIVE. FROM LARGE-SCALE, NATIONAL
INFRASTRUCTURE SERVICES DOWN TO INDIVIDUAL, USER-LEVEL OPPORTUNITIES,
THE TRANSFORMATIVE POWER OF OCLC'S ENGAGED, ACTIVE MEMBERS AND STAFF IS
TRULY INSPIRATIONAL.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2019)

932211 09-06-19

Name of the organization

OCLC, INC.

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WORLDCAT

TOGETHER, OCLC STAFF AND MEMBER LIBRARIES GREW WORLDCAT TO 453 MILLION

RECORDS AND 2.8 BILLION HOLDINGS. OTHER PARTNERS HELPED GROW WORLDCAT,

TOO, WITH 364 NEW CONTENT COLLECTIONS FROM 29 PROVIDERS. OCLC CONTINUED

TO GROW THE WORLDCAT KNOWLEDGE BASE BY LOADING 1,583 NEW COLLECTIONS

AND ADDING 10 NEW PROVIDERS. AND OCLC PARTNERED WITH EAST VIEW

INFORMATION SERVICES, SPRINGER NATURE, AND KNOVEL TO AUTOMATE LIBRARY

HOLDING FEEDS, REGISTERING LIBRARY COLLECTIONS IN WORLDCAT AND

DELIVERING WORLDCAT MARC RECORDS VIA COLLECTION MANAGER.

COLLABORATION AT NATIONAL LEVELS

OCLC INCREASED THE NUMBER OF REGIONS BUILDING LIBRARY INFRASTRUCTURE ON

OCLC'S SYNDEO, A FLEXIBLE SUITE OF SERVICES THAT SERVES NATIONAL AND

REGIONAL GROUPS.

THE BIBLIOTHEKSSERVICE-ZENTRUM BADEN-WRTTEMBERG (BSZ) AND

VERBUNDZENTRALE DES GBV (VZG) ANNOUNCED THE LAUNCH OF A NEW JOINT

INITIATIVE, K10PLUS. THIS NEW COLLABORATION PROVIDES THE LARGEST

CATALOG IN GERMANY FOR SCIENTIFIC LIBRARIES AND IS BEING DEVELOPED

USING SYNDEO.

OCLC PARTNERED WITH JISC TO LAUNCH THE FIRST TWO NATIONAL BIBLIOGRAPHIC

KNOWLEDGEBASE (NBK) PILOT SERVICES, DISCOVERY AND CATALOGING. THE NBK

SUPPORTS THE UK HIGHER-EDUCATION COMMUNITY AND CONNECTS TO THE GLOBAL

LIBRARY NETWORK THROUGH WORLDCAT. BY THE END OF 2019, 104 LIBRARY

COLLECTIONS WERE LOADED.

OCLC IS EXPLORING A PARTNERSHIP WITH THE NATIONAL INSTITUTE FOR

INFORMATICS (NII) IN JAPAN TO USE THE SYNDEO SUITE OF SERVICES TO

EXPAND NII'S GLOBAL REACH WHILE FACILITATING LIBRARY COOPERATION IN ITS

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REGION.

OCLC PUBLISHED THE OCLC RESEARCH REPORT, MAPLE LEAVES: DISCOVERING

CANADA THROUGH THE PUBLISHED RECORD. THE REPORT COMBINED INFORMATION

FROM WORLDCAT AND WIKIDATA TO EXPLORE CANADA'S RICH CONTRIBUTION TO

LITERATURE, MUSIC, FILMS, AND OTHER CREATIVE EXPRESSIONS.

OCLC WISE

OCLC WISE IS THE COMMUNITY ENGAGEMENT SYSTEM THAT'S CREATING NEW

CONNECTIONS FOR PUBLIC LIBRARIES. ALREADY USED BY MORE THAN 75 PERCENT

OF PUBLIC LIBRARIES IN THE NETHERLANDS, OCLC LAUNCHED WISE IN THE U.S.

LAST YEAR. THIS YEAR, THE POOL OF EARLY ADOPTERS GREW, WITH THE SIGNING

OF THE ORANGE COUNTY LIBRARY SYSTEM, KOKOMO-HOWARD COUNTY PUBLIC

LIBRARY, CHESAPEAKE PUBLIC LIBRARY, GWINNETT COUNTY PUBLIC LIBRARY, AND

GREENSBORO PUBLIC LIBRARY. ALLEN COUNTY PUBLIC LIBRARY, THE FIRST EARLY

ADOPTER, WENT LIVE IN NOVEMBER 2019.

OCLC EXPANDED WISE IN EUROPE. THE PUBLIC LIBRARY OF BREE IN BELGIUM

WENT LIVE WITH WISE IN JANUARY. THIS WAS THE FIRST WISE LIBRARY LIVE

OUTSIDE OF THE NETHERLANDS. A TOTAL OF 30 MORE LIBRARIES WITH

CULTUURCONNECT IN FLANDERS, THE DUTCH-SPEAKING REGION OF BELGIUM,

FOLLOWED. THIS PROJECT INCLUDES THESE AS WELL AS ALL OF THE OTHER 315

PUBLIC LIBRARIES IN FLANDERS, WITH LIBRARIES IMPLEMENTING THE SYSTEM IN

PHASES THROUGH 2021.

GERMAN DEVELOPMENT OF WISE IS UNDERWAY WITH INPUT FROM SEVERAL LEADING

GERMAN PUBLIC LIBRARIES.

RESOURCE SHARING

OCLC MARKED THE 40TH ANNIVERSARY OF OCLC RESOURCE SHARING BY EXPANDING

OUR GLOBAL NETWORK OF RESOURCE SHARING LIBRARIES AND ENHANCING THE

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WORLDSHARE INTERLIBRARY LOAN PLATFORM. OCLC INTRODUCED ITS LIBRARY

ON-DEMAND VISION, A USER-FIRST STRATEGY THAT WILL ENABLE LIBRARIES TO

OFFER AN UNPRECEDENTED USER EXPERIENCE FOR THE NEXT 40 YEARS.

WORLDSHARE INTERLIBRARY LOAN WAS IMPROVED WITH PATRON DATA RETENTION,

STAFF NOTES, AND RESUBMITTING OF REQUESTS. OCLC'S PARTNERSHIP WITH THE

NATIONAL LIBRARY OF NEW ZEALAND WAS EXTENDED WITH AN AGREEMENT TO

PROVIDE MORE THAN 200 NEW ZEALAND LIBRARIES WITH ACCESS TO WORLDSHARE

ILL. AND MORE THAN 135 LIBRARIES, USING THE OFFICIAL DUTCH UNION

CATALOG AND METADATA SYSTEM, TRANSITIONED TO WORLDSHARE ILL.

MANAGING RESOURCE SHARING IN THE CLOUD

TIPASA, THE FIRST CLOUD-BASED INTERLIBRARY LOAN MANAGEMENT SYSTEM, GREW

TO MORE THAN 288 LIBRARIES LIVE. THE SYSTEM WAS ENHANCED THROUGHOUT THE

YEAR WITH NEW FEATURES, INCLUDING PATRON DATA RETENTION, STAFF NOTES,

AND LOCAL CATALOG AND CIRCULATION INTEGRATION.

*ALL 14 MEMBERS OF THE UKB, A CONSORTIUM OF THE 13 DUTCH UNIVERSITY

LIBRARIES AND THE ROYAL LIBRARY OF THE NETHERLANDS, BEGAN USING TIPASA

ON 28 JANUARY 2019.

*FOUR LEADING ACADEMIC LIBRARIES IN NEW ZEALAND IMPLEMENTED TIPASA

THE UNIVERSITY OF CANTERBURY, THE UNIVERSITY OF WAIKATO, AUCKLAND

UNIVERSITY OF TECHNOLOGY, AND THE UNIVERSITY OF OTAGO.

WORLDSHARE MANAGEMENT SERVICES

THE WORLDSHARE MANAGEMENT SERVICES (WMS) COMMUNITY CONTINUED TO GROW.

LIBRARIES IN 24 COUNTRIES ARE NOW USING WMS AS THEIR CLOUD-BASED

LIBRARY SERVICES PLATFORM. OCLC ADDED MORE THAN 200 NEW ENHANCEMENTS TO

WMS-70 PERCENT OF WHICH WERE BASED ON COMMUNITY INPUT.

AMONG THE NEW COMMUNITY MEMBERS:

*IN NORTH AMERICA, THE BUREAU DE COOPERATION INTERUNIVERSITAIRE (BCI)

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SELECTED WMS, AND, MCGILL UNIVERSITY, UNIVERSITY OF WINNIPEG, ROBERT

MORRIS UNIVERSITY, FAIRLEIGH DICKINSON UNIVERSITY, AND THE US CENSUS

BUREAU WENT LIVE.

*IN EUROPE AND THE MIDDLE EAST, NEW WMS MEMBERS INCLUDED THE UNITED

ARAB EMIRATES UNIVERSITY, UTRECHT UNIVERSITY, AND THE LIBRARY OF AECID

(AGENCIA ESPAOLA DE COOPERACIN PARA EL DESARROLLO). COMPLUTENSE

UNIVERSITY OF MADRID AND THE KORE UNIVERSITY OF ENNA WENT LIVE.

*IN AUSTRALIA, THE MANNIX LIBRARY SIGNED AN AGREEMENT TO TRANSITION TO

WMS.

OCLC COMPLETED THE LIBRARY AND ARCHIVES CANADA PROJECT, A WMS

IMPLEMENTATION THAT INCLUDED A 3.5 MILLION BIBLIOGRAPHIC RECORD DATA

MIGRATION AND A FRENCH TRANSLATION OF THE ANALYTICS/REPORT DESIGNER

INTERFACE. OCLC ALSO CREATED NEW STRUCTURES OF CANADIANA NAMES AND

CANADIAN SUBJECT AUTHORITY FILES.

OCLC MEMBER CONNECTIONS

IN 2019, OCLC GLOBAL COUNCIL SELECTED THE FOCUS AREA OF OPEN CONTENT

AND SPONSORED A GLOBAL SURVEY ON THE SUBJECT. THE SURVEY WAS A HUGE

SUCCESS WITH 705 RESPONSES FROM 82 COUNTRIES.

OCLC GLOBAL COUNCIL DELEGATES ELECTED THERESA BYRD, DEAN OF THE

UNIVERSITY LIBRARY, UNIVERSITY OF SAN DIEGO, TO THE OCLC BOARD OF

TRUSTEES.

MORE THAN 800 LIBRARIANS ACROSS 52 COUNTRIES ATTENDED ONE OF THREE OCLC

REGIONAL COUNCIL CONFERENCES.

IN THE NETHERLANDS, THE UK, AND GERMANY, OCLC HELD CONTACT DAY,

CUSTOMER DAYS, LIBRARY LEADERS DAY, AND DAYS WITH CONSORTIUM PARTNERS,

REACHING MORE THAN 700 LIBRARIANS.

OCLC HOSTED THE THIRD ANNUAL OCLC RESOURCE SHARING CONFERENCE, WHERE

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MORE THAN 300 ATTENDEES-FROM 45 US STATES, AS WELL AS THE UK AND

CANADA-MET TO LEARN AND SHARE CREATIVE WAYS TO USE OCLC RESOURCE

SHARING SERVICES.

SECURING THE COLLECTIVE COLLECTION

OCLC'S GROUNDBREAKING WORK IN SHARED PRINT COLLECTIONS ACCELERATED.

GREENGLASS, A WEB APPLICATION THAT ALLOWS SINGLE LIBRARIES TO VISUALIZE

THEIR COLLECTIONS IN COLLECTIVE COLLECTIONS, GREW GLOBALLY, AS OCLC

SIGNED 42 NEW OR EXTENDED CONTRACTS WITH INSTITUTIONS SUCH AS MINITEX,

LIBRARIES AND ARCHIVES CANADA, AND THE MICHIGAN SHARED PRINT

INITIATIVE.

IN PARTNERSHIP WITH THE CENTER FOR RESEARCH LIBRARIES, OCLC IS

DEVELOPING A REGISTRY IN WORLDCAT FOR LIBRARY RETENTION COMMITMENTS FOR

PRINT SERIALS USING A \$1 MILLION GRANT FROM THE MELLON FOUNDATION,

WHICH WAS AWARDED IN JUNE 2018. THIS EFFORT WILL CONTINUE THROUGH FY20.

CONTINUED LEADERSHIP IN LINKED DATA

WORKING WITH 16 INSTITUTIONS, OCLC COMPLETED A LINKED DATA WIKIBASE

PROTOTYPE, BUILT TO VIEW, EDIT, AND CREATE LINKED DATA DESCRIPTIONS AND

RELATIONSHIPS, AND TO RECONCILE DATA BETWEEN LEGACY BIBLIOGRAPHIC

INFORMATION AND LINKED DATA ENTITIES. OCLC PUBLISHED ANALYSIS OF 2018

INTERNATIONAL LINKED DATA SURVEY FOR IMPLEMENTERS TO SHARE INFORMATION

ABOUT PROJECTS OR SERVICES THAT FORMAT METADATA AS LINKED DATA OR MAKE

SUBSEQUENT USES OF IT.

A CELEBRATION OF LITERATURE AND LIBRARIES

THE LIBRARY 100: TOP NOVELS OF ALL TIME IS A LIST OF THE NOVELS MOST

WIDELY AVAILABLE IN LIBRARIES TODAY. USING WORLDCAT, THE WORLD'S

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LARGEST DATABASE OF LIBRARY MATERIALS, OCLC IDENTIFIED THESE NOVELS.

AND WHILE THIS LIST RECOGNIZES IMPORTANT LITERATURE, IT ALSO CELEBRATES

LIBRARIES AND THEIR VITAL ROLE IN SOCIETY.

FORM 990, PART V, LINE 4B, LIST OF FOREIGN COUNTRIES:

CANADA, MEXICO, CHINA, CAYMAN ISLANDS,

NETHERLANDS, FRANCE, GERMANY, SWITZERLAND,

UNITED KINGDOM, AUSTRALIA, ITALY

FORM 990, PART VI, SECTION A, LINE 1:

THE BOARD OF TRUSTEES MAY DESIGNATE THREE (3) OR MORE TRUSTEES, INCLUDING

THE CHAIR OF THE BOARD, TO CONSTITUTE AN EXECUTIVE COMMITTEE, WHICH

COMMITTEE SHALL HAVE AND EXERCISE THE AUTHORITY OF THE BOARD OF TRUSTEES IN

THE MANAGEMENT OF THE AFFAIRS OF THE CORPORATION WHEN THE BOARD OF TRUSTEES

IS NOT IN SESSION.

FORM 990, PART VI, SECTION A, LINE 6:

MEMBERSHIP IN OCLC IS OPEN TO ANY LIBRARY OR OTHER MEMORY INSTITUTION THAT

EMBRACES THE OCLC VALUES OF COLLABORATION AND SHARING. INSTITUTIONS

WORLDWIDE BECOME MEMBERS BY CONTRACTUALLY AGREEING TO CONTRIBUTE

INTELLECTUAL CONTENT OR SHARE RESOURCES. MEMBERS MAY INCLUDE LIBRARIES,

MUSEUMS, ARCHIVES, HISTORICAL SOCIETIES, OR SIMILAR INSTITUTIONS.

OCLC MEMBERS IN EACH OF THREE WORLDWIDE REGIONS ORGANIZE INTO REGIONAL

COUNCILS. EACH REGIONAL COUNCIL ELECTS DELEGATES TO THE OCLC GLOBAL

COUNCIL. THIS BODY CONSISTS OF 48 MEMBER DELEGATES AND IT ARTICULATES THE

VARIOUS INTERESTS OF THE OCLC MEMBERS TO THE OCLC BOARD OF TRUSTEES.

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FORM 990, PART VI, SECTION A, LINE 7A:

THE OCLC GLOBAL COUNCIL ELECTS SIX MEMBERS OF THE OCLC BOARD OF TRUSTEES.

FORM 990, PART VI, SECTION B, LINE 11B:

THE 990 RETURN AND RELATED SCHEDULES AND FILINGS (THE "RETURN") ARE
 PREPARED AND REVIEWED INTERNALLY BY OCLC FINANCE STAFF IN CONSULTATION WITH
 APPROPRIATE OCLC PERSONNEL. THE RETURN IS THEN REVIEWED BY BDO USA, LLP.
 UPON BDO'S REVIEW, THE RETURN IS REVIEWED BY APPROPRIATE OCLC MANAGEMENT
 PERSONNEL, SUCH AS THE CHIEF FINANCIAL OFFICER, CONTROLLER AND/OR GENERAL
 COUNSEL. UPON MANAGEMENT'S APPROVAL, THE RETURN IS PROVIDED ELECTRONICALLY
 FOR REVIEW TO ONE OR MORE MEMBERS OF THE BOARD OF TRUSTEES.

FORM 990, PART VI, SECTION B, LINE 12C:

ALL EMPLOYEES ARE REQUIRED TO CERTIFY THEIR KNOWLEDGE OF, AND COMPLIANCE
 WITH, THE POLICY ON AN ANNUAL BASIS BY SIGNING A STATEMENT. IF IT IS
 UNCLEAR IF A CONFLICT EXISTS, THE EMPLOYEE MUST CONTACT THE GENERAL COUNSEL
 FOR CLARIFICATION.

IN ADDITION TO THE GENERAL POLICY FOR ALL EMPLOYEES, MEMBERS OF THE OCLC
 BOARD OF TRUSTEES AND THE STRATEGIC LEADERSHIP TEAM ARE REQUIRED TO ANSWER
 MORE DETAILED QUESTIONS IN WRITING ON AN ANNUAL BASIS AND ALL RESPONSES ARE
 REVIEWED BY THE AUDIT COMMITTEE OF THE OCLC BOARD OF TRUSTEES.

FORM 990, PART VI, SECTION B, LINE 15:

FOR ALL EXECUTIVES, THE PERSONNEL AND COMPENSATION COMMITTEE OF THE OCLC
 BOARD OF TRUSTEES REVIEWS THE PROPOSED COMPENSATION AND AN ANALYSIS OF
 COMPENSATION IN THE MARKETPLACE FOR COMPARABLE POSITIONS PREPARED BY AN
 OUTSIDE CONSULTANT. ONCE APPROVED BY THE COMMITTEE, THE ENTIRE BOARD OF

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TRUSTEES MEETS IN EXECUTIVE SESSION TO REVIEW AND VOTE ON THE COMPENSATION

PACKAGES. THE COMMITTEE AND THE FULL BOARD CONSIST ONLY OF INDIVIDUALS WHO

DO NOT HAVE A CONFLICT OF INTEREST WITH RESPECT TO SETTING THE COMPENSATION

FOR THE EXECUTIVES. WRITTEN MINUTES OF ALL BOARD COMMITTEES AND BOARD OF

TRUSTEE MEETINGS ARE PREPARED.

MORE INFORMATION ABOUT OCLC EXECUTIVE COMPENSATION CAN BE FOUND ON THE OCLC

WEBSITE AT THE FOLLOWING LINK

[HTTP://WWW.OCLC.ORG/EN-US/ABOUT/FINANCE/EXECUTIVE_COMPENSATION.HTML](http://www.oclc.org/en-us/about/finance/executive_compensation.html)

FORM 990, PART VI, SECTION C, LINE 19:

THE ARTICLES OF INCORPORATION AND THE ANNUAL AUDITED FINANCIAL STATEMENTS

ARE AVAILABLE ON THE OCLC WEBSITE.

[HTTP://WWW.OCLC.ORG/EN-US/MEMBERSHIP/COUNCILS.HTML](http://www.oclc.org/en-us/membership/councils.html)

[HTTP://WWW.OCLC.ORG/EN-US/ABOUT/FINANCE.HTML](http://www.oclc.org/en-us/about/finance.html)

IN ADDITION, THE ANNUAL REPORT (INCLUDING CONDENSED FINANCIAL STATEMENTS)

IS PROVIDED TO THE DIRECTORS OF ALL MEMBERS. THE ANNUAL REPORT IS ALSO

AVAILABLE ON THE OCLC WEBSITE

[HTTP://WWW.OCLC.ORG/EN-US/ABOUT/FINANCE.HTML](http://www.oclc.org/en-us/about/finance.html)

FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:

FOREIGN CURRENCY TRANSLATION

-8,088,531.

UNREALIZED POST RETIREMENT

-1,159,414.

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INCOME ON INVESTMENT IN SUBSIDIARIES 24,403.

TOTAL TO FORM 990, PART XI, LINE 9 -9,223,542.

PHILOSOPHY STATEMENT ON BOARD OF TRUSTEES COMPENSATION

SINCE 1979, THE OCLC BOARD OF TRUSTEES HAS DETERMINED THAT COMPENSATION

FOR TRUSTEE SERVICE IS APPROPRIATE IN ORDER TO ATTRACT AND RETAIN THE

MOST QUALIFIED AND ABLE INDIVIDUALS, TO PROMOTE ECONOMIC DIVERSITY BY

PROVIDING AN OPPORTUNITY TO CONTRIBUTE TO THE WORK OF THE BOARD BY

THOSE WHO MIGHT OTHERWISE BE UNABLE TO DO SO, AND TO PROVIDE TANGIBLE

COMPENSATION FOR THE CONSIDERABLE TIME AND EFFORT OF TRUSTEES IN

SUPPORT OF OCLC'S MISSION. BECAUSE OF THE SIGNIFICANT TIME COMMITMENT

INVOLVED IN BOARD SERVICE, MANY TRUSTEES MUST LIMIT CONSULTING AND

OTHER ACTIVITIES FOR WHICH THEY WOULD BE COMPENSATED.

COMPENSATION WILL BE PROVIDED TO NON-EMPLOYEE TRUSTEES, BY ACTION OF

THE BOARD, AT LEVELS CONSISTENT WITH THOSE PROVIDED BY OTHER NON-PROFIT

ORGANIZATIONS THAT ALSO COMPENSATE THEIR BOARD MEMBERS/TRUSTEES (WITH

MARKET DEFINED BY DATA FROM 990 FILINGS, SUPPLEMENTED BY NON-PROFIT

PUBLISHED SURVEY DATA). COMPENSATION LEVELS ARE ALSO REVIEWED FROM

TIME TO TIME BY INDEPENDENT COMPENSATION CONSULTANTS FOR BENCHMARKING

AGAINST OTHER NON-PROFIT ORGANIZATIONS. BOARD COMPENSATION CONSISTS OF

CASH PAYMENTS STRUCTURED AS A COMBINATION OF RETAINERS AND MEETING

FEES, WITH HIGHER COMPENSATION AMOUNTS FOR CHAIRS OF THE BOARD AND

BOARD COMMITTEES REFLECTING THE ADDITIONAL TIME REQUIREMENTS OF THESE

POSITIONS. FROM TIME TO TIME, THERE MAY ALSO BE ADDITIONAL TRUSTEE

COMPENSATION FOR SPECIAL PROJECTS/ASSIGNMENTS AS DETERMINED BY THE

BOARD, DEPENDING ON DURATION AND ADDITIONAL TIME REQUIREMENTS.

Name of the organization

OCLC, INC.

Employer identification number

31-0734115

MORE INFORMATION ABOUT OCLC BOARD COMPENSATION CAN BE FOUND ON THE OCLC

WEBSITE AT THE FOLLOWING LINK.

[HTTP://WWW.OCLC.ORG/EN-US/ABOUT/FINANCE/COMPENSATION_Q_AND_A.HTML](http://www.oclc.org/en-us/about/finance/compensation_q_and_a.html)

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?**a** Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity**b** Gift, grant, or capital contribution to related organization(s)**c** Gift, grant, or capital contribution from related organization(s)**d** Loans or loan guarantees to or for related organization(s)**e** Loans or loan guarantees by related organization(s)**f** Dividends from related organization(s)**g** Sale of assets to related organization(s)**h** Purchase of assets from related organization(s)**i** Exchange of assets with related organization(s)**j** Lease of facilities, equipment, or other assets to related organization(s)**k** Lease of facilities, equipment, or other assets from related organization(s)**l** Performance of services or membership or fundraising solicitations for related organization(s)**m** Performance of services or membership or fundraising solicitations by related organization(s)**n** Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)**o** Sharing of paid employees with related organization(s)**p** Reimbursement paid to related organization(s) for expenses**q** Reimbursement paid by related organization(s) for expenses**r** Other transfer of cash or property to related organization(s)**s** Other transfer of cash or property from related organization(s)**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)	OCLC AG	L	<184,192. PER CONTRACT	
(2)				
(3)				
(4)				
(5)				
(6)				

Part VII	Supplemental Information
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Provide additional information for responses to questions on Schedule R. See instructions.

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